PTO/SB/08a (03-08)
Approved for use through 05/31/2008 OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURI
STATEMENT BY APPLICANT
(Not for submission under 37 CFR 1.99

Application Number		10777411			
Filing Date		2004-02-12			
First Named Inventor	Gord	on, Charles			
Art Unit		3774			
Examiner Name Schilli		inger, A.			
Attornov Docket Num	hor	5043 00300			

	U.S.PATENTS								
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
	1	4309777		1982-01-12	Patil				
	2	4349921		1982-09-21	Kuntz				
	3	4759766		1988-07-26	Buetiner-Janz et al.				
	4	4759769		1988-07-26	Hedman et al.				
	5	4772287		1988-09-20	Ray et al.				
	6	4863477		1989-09-05	Monson				
	7	3867728		1975-02-25	Substad et al.				
	8	4911718		1990-03-27	Lee et al.				

	Application Number		10777411	
	Filing Date		2004-02-12	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Gordo		on, Charles	
(Not for submission under 37 CFR 1.99)	Art Unit		3774	
(Not lot bubilission under or or it issue	Examiner Name	Schilli	linger, A.	
	Attorney Docket Numb	er	5943-00300	

9	4932975	1990-06-12	Main et al.	
10	4946378	1990-08-07	Hirayama et al.	
11	5047055	1991-09-10	Bao et al.	
12	5071437	1991-12-10	Stoffee	
13	5108438	1992-04-28	Stone	
14	5171281	1992-12-15	Parsons et al.	
15	5192326	1993-03-09	Bao et al.	
16	5246458	1993-09-21	Graham	
17	5258031	1993-11-02	Salib et al.	
18	5306307	1994-04-26	Senter et al.	
19	5306309	1994-04-26	Wagner et al.	

	Application Number		10777411	
	Filing Date		2004-02-12	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Gordo		on, Charles	
(Not for submission under 37 CFR 1.99)	Art Unit		3774	
(Not for Sabilission ander or or it 1.50)	Examiner Name	Schilli	inger, A.	
	Attorney Docket Numb	er	5943-00300	

20	5314477	1994-05-24	Marnay	
21	5320644	1994-06-14	Baumgartner	
22	5401269	1995-03-28	Buettner-Janz et al.	
23	5458642	1995-10-17	Beer et al.	
24	5514180	1995-10-17	Oka et al.	
25	5458643	1995-05-07	Heggeness et al.	
26	5522899	1996-06-04	Michelson	
27	5534028	1996-07-09	Bao et al.	
28	5534030	1996-07-09	Navarro et al.	
29	5556431	1996-09-17	Buettner-Janz	
30	5562738	1996-10-08	Boyd et al.	

	Application Number		10777411	
	Filing Date		2004-02-12	
INFORMATION DISCLOSURE	First Named Inventor Gordo		on, Charles	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		3774	
(Not for Submission under or or it issue	Examiner Name	Schilli	linger, A.	
	Attorney Docket Numb	er	5943-00300	

31	5674294	1997-10-07	Barnville et al.	
32	5676701	1997-10-14	Yuan et al.	
33	5676702	1997-10-14	Ratron	
34	5683464	1997-11-04	Wagner et al.	
35	5863465	1997-11-04	Shinn et al.	
36	5702450	1997-12-30	Bissene	
37	5755797	1998-05-26	Baumgartner	
38	5782832	1998-07-21	Larsen et al.	
39	5800549	1998-09-01	Bao et al.	
40	5824093	1998-10-20	Ray et al.	
41	5824094	1998-10-20	Serhan et al.	

42	5827328		1998-10-27	Buttermann	
43	5861041		1999-01-19	Tienboon	
44	6595992		2003-07-22	Wagner et al.	
45	5888220		1999-03-30	Felt et al.	
46	5888226		1999-03-30	Rogazinski	
47	5893889		1999-04-13	Harrington	
48	5895428		1999-04-20	Berry	
49	5899941		1999-05-04	Nishijima et al.	
50	5961554		1999-10-05	Janson et al.	
h to a	dd additional U.S. Pater				Remove
		U.S.P	A I EN I APPLI	CATION PUBLICATIONS	
Cite No	Publication Number			Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	43 44 45 46 47 48 49	43 5961041 44 6596962 45 5888220 46 5888226 47 5883989 48 5896429 49 589941 50 5961354 it to add additional U.S. Pater	43 5961041 44 9595922 45 5883220 46 5883226 47 5963889 48 5985428 49 589941 50 5961554 ht a add additional U.S. Patient cilation to additional U.S. Patient ci	43 5961041 1999-01-19 44 6595992 2003-07-22 45 5868220 1999-03-30 46 588226 1999-03-30 47 5863899 1999-04-13 48 5865428 1999-04-20 49 5869941 1999-04-20 50 5961554 1999-04-20 U.S.PATENT APPLIN	43 5951041 1999-01-19 Tienboom 44 6595922 2003-07-22 Wagner et al. 45 5888220 1999-03-30 Felt et al. 46 5888226 1999-03-30 Ropecnsis 47 5853899 1999-04-13 Hamington 48 5865428 1999-04-20 Berry 49 5869941 1999-04-20 Berry 49 5869941 1999-04-20 Jamson et al. 50 5961554 1999-04-25 Jamson et al. 50 5961554 1999-04-05 Jamson et al. 50 5961554 1999-04-05 Name of Patentee or Applicant U.S.PATENT APPLICATION PUBLICATIONS Cite Control of the Control of Stories of Cite Control of Cite Control of Stories of Cite Control of

			/ 1110111	.,	net Humber	0010 00000		
	1							
If you wis	h to a	dd additional U.S. Publi	shed Application	citatio	n information p	ease click the Add butt	on. Add	\neg
			FOREIG	SN PAT	TENT DOCUM	ENTS	Remove	\neg
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴		Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1	0074606	wo		2000-12-14	Zdeblick et al.		
	2	0004851	wo		2000-02-03	Vincent et al.		
	3	0101893	wo		2001-01-11	Marney et al.		
	4	2735351	FR		1996-12-20	Thierry et al.		
	5	2799949	FR		2001-04-27	Abder et al.		
	6	2745706	FR		1997-09-12	Option SA		
	7	2718946	FR		1995-10-27	Soprane SA		

1995-09-01 Lefant

2716616

FR

| Application Number | 1077/1411 | Filing Date | 2004-02-12 | Filing Date |

	9	2085145	RU		1997-07-27	Eberhardt GEB					
	10										
	11	2004019828	wo		2004-03-11	MATHYS MEDIZINALTECHNIK AG					
	12	2004026188	wo		2004-04-01	SDGI Holdings, Inc					
If you wish to add additional Foreign Patent Document citation information please click the Add button Add											
			NON-PATER	NT LITE	RATURE DO	CUMENTS	Remove				
Examiner Initials*	Cite No	(book, magazine, jour	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(e), volume-issue number(s), publisher, city, and/or country where published.								
	1	Humphreys et al., "Biomechanics of the KENTI (TM) Total Joint Replacement", Presented at the Global Symposium on Molton Preservation Technology, May 9-13, 2006; 3 pages.									
	2	Hodges et al., "Bornechanics of the KENTI (TM) Total Joint Replacement", Presented at the Global Symposium on Moton Preservation Technology, May 9-13, 2008; 1 page									
	3	Patel et al., "Changes in Kinematics following Single Level fusion, Single and Bi-Level Charite disc replacement in the Lumbar Spine" Presented at the Global Symposium on Motion Preservation Technology, May 9-13, 2006; †									
	4	Serhan et al. "Biomecha	Serhan et al. "Biomechanics of the posterior lumbar articulating elements," Neurosurg Focus 2007, 22(1) E1, 6 pages								
	5	Khoueir et al. "Classifica	tion of posterior d	ynamic s	stabilization devi	ces," Neurosurg Focus, 200	07, 22(1):E1, 8 pages				

6	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,190, mailed October 11, 2007; available in PAIR	
7		
8	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,376, mailed November 29, 2007; available in PAIR	
9	PCT Search Report and Written Opinion for International Application No. PCT/US2007/05395 mailed December 11, 2007, 15 pages.	
10	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed June 9, 2008; available in PAIR	
11	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,190, mailed April 17, 2008; available in PAIR	
12	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,188, mailed March 17, 2009; available in PAIR	
13	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed October 11, 2007; available in PAIR	
14	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed June 9, 2008; available in PAIR	
15	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed December 12, 2008, available in PAIR	
16	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed March 25, 2009, available in PAIR	

	17	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed April 24, 2009; available in PAIR	
	18	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,376, mailed April 20, 2009, available in PAIR	
	19	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,328, mailed March 17, 2006; available in PAIR	
	20	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,190, mailed August 11, 2008; available in PAIR	
	21	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/655,724, mailed May 27, 2009; available in PAIR	
	22	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/655,787, mailed May 27, 2009; available in PAIR	
	23	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/655,737, mailed May 27, 2009; available in PAIR	
	24	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/655,723, mailed May 27, 2009; available in PAIR	
	25	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/655,790, mailed June 4, 2009; available in PAIR	
	26	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed July 21, 2009, available in PAIR	
	27	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/134,073, mailed July 22, 2009; available in PAIR	

	28	International Preliminary Report on Patentability for International Application No. PCT/LUS2008/051346 mailed March 27, 2009, 23 pages.	
	29	International Search Report and Written Opinion for International Application No. PCT/US2008051346 mailed September 9, 2008, 20 pages.	
	30	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/3/1,376, mailed August 28, 2009; available in PAIR	
	31	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/3/1,328, mailed September 28, 2009, available in PAIR	
	32	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,188, mailed September 25, 2009, available in PAIR	
	33	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,190, maried April 29, 2009; available in PAIR	
	34	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,182, mailed October 14, 2009; available in PAIR	
	35	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,190, mailed November 7, 2008; available in PAIR	
	36	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,190, mailed October 29, 2009, available in PAIR	
	37	U.S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,170, mailed November 4, 2009, available in PAIR	
	38	U.S. Patent and Trademark Office "Communication" for U.S. Application No. 11/343,933 mailed November 19, 2007, available in PAIR	

	39		
	40	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/371,376, mailed November 4, 2008; available in PAIR	
	41	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/345,602, mailed October 13, 2009; available in PAIR	
	42	PCT Search Report and Written Opinion for PCT/US2004/025990 mailed on April 11, 2005 (8 pages).	
	43	U.S. Patent and Trademark Office "Communication" for U.S. Application No. 11/343,933 mailed November 19, 2007; available in PAIR	
	44	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/134,673, mailed July 22, 2009; available in PAIR	
	45	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/134,079, mailed August 14, 2009; available in PAIR	
	46	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/134,082, mailed August 14, 2009; available in PAIR	
	47	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/134,067, mailed August 14, 2009, available in PAIR	
	48	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/050,632, marked August 25, 2009, available in PAIR	
	49	U. S. Patent and Trademark Office "Communication" for U.S. Application No. 11/134,066, mailed September 9, 2009, available in PAIR	

| Application Number | 10771411 | Fing Date | 2004-02-12 | Finst Number | 10771411 | Fing Date | 2004-02-12 | Finst Number | 10771411 | Fing Date | 2004-02-12 | Finst Number | 2004-02-12 | Finst Num

If you wish to add additional non-patent literature document citation information please click the Add button Add

Examiner Signature Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 See Kind Codes of USPTO Patient Documents at were USPTO_GOD(or MPCP 901.64. ² Extent office that issued the document, by the holietier code (WIPO Standard ST3.) ² For Juapanes platful concurrent, by manifold on the year of the Proprior mant protects the serial number of the patient document. ⁴ Kind of documents by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.